

# Corporate and Securities Litigation

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Our Corporate and Securities Litigation team represents leading national and international public companies, investment banks, accounting firms and their executives and directors in courts throughout the U.S.

We try securities cases when necessary, and have won a defense verdict in the largest securities class action trial in history. Working closely with our recognized Securities Enforcement and Regulation, Professional Liability and Financial Restructuring teams to further advance clients' defense and commercial interests, our team also:

- represents companies (both buyers and sellers) and their directors, committees of directors, officers and financial advisors in litigation arising from mergers, acquisitions and other strategic transactions;
- guides corporate boards through complex internal investigations and SEC proceedings; and
- represents officers and directors in breach of fiduciary duty and fraudulent transfer lawsuits in bankruptcy proceedings.

Although we are the dominant defense firm for securities matters filed in the Southeast and the Southwest (handling more securities class actions in the 5th and 11th Circuits than any other firm), we have a national and international practice with more than 100 dedicated securities practitioners in offices in New York, Washington, D.C., Georgia, Texas, Illinois and California as well as in offices in Europe and Asia. Our seasoned litigators have acted on behalf of Global 100 clients as lead defense counsel in hundreds of securities class actions, derivative lawsuits, and SEC investigations and enforcement actions in courts nationwide. In class actions alleging securities fraud, we have won scores of cases for clients on motions to dismiss, class certification, summary judgment and at trial.

## Capability Lawyers



David L. Balsler  
*Atlanta*



Paul R. Bessette  
*Austin*



Michael J. Biles (Mike)  
*Austin*



Israel Dahan  
*New York*



Peter Isajiw  
*New York*



Richard T. Marooney  
*New York*

## Recognition

Ranked Tier 1 in Litigation-Securities

U.S. NEWS & WORLD  
REPORT 2023

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Ranked Top Tier Firm for  
Securities Litigation Defense

LEGAL 500, 2023

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Notably, our pretrial dismissal rate exceeds the national average in an environment where dismissals continue to play an important role. Our track record of success is why we are panel counsel for, and are regularly recommended by, major D&O insurance carriers and brokers.

## Cases & Deals

*September 12, 2023*

Rio Tinto Secures Victory in the Second Circuit

*May 25, 2023*

Mullen Automotive, Inc. Obtains Order Significantly Reducing Fees

*May 22, 2023*

HSBC, Scotiabank Win Silver Price Manipulation Suit

[VIEW ALL](#)

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## Insights

### ARTICLE

*April 1, 2024*

Navigating the Litigation Minefield When Exercising Proxy Rights

### ARTICLE

*March 4, 2024*

SEC Broadens Definition of 'Dealer'

### CLIENT ALERT

*November 8, 2023*

The SEC's New Clawback Rules Will Change Internal Investigations

[VIEW ALL](#)

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## Events

### CONFERENCE

*May 24, 2023*

Austin: Understanding and Minimizing Securities Litigation Risks

### CONFERENCE

*May 11, 2023*

Houston: Understanding and Minimizing Securities Litigation Risks

### CONFERENCE

*May 11, 2023*

Fintech Summit 2023

[VIEW ALL](#)

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## News

### PRESS RELEASE

*April 2, 2024*

Recognized as a "Litigation Leader" among the Best of the Best Law Firms in Class Actions

BTI LITIGATION OUTLOOK, 2022

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Ranked Band 1 for Florida Securities Litigation

CHAMBERS USA, 2023

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King & Spalding Adds Three-Partner Litigation Team in New York

**IN THE NEWS**

*April 2, 2024*

Partners Jennifer Recine, Sarmad Khojasteh and David Kupfer join K&S's Business Litigation practice group in New York, focused on real estate litigation and complex commercial litigation

**IN THE NEWS**

*April 1, 2024*

Warren Pope, Bethany Rezek, Brian Barnes and Michael Smith represent National Vision before a Georgia federal court, which dismissed an investor class action suit against the company

**[VIEW ALL](#)**