

Financial Services

Clients of all sizes spanning the financial services industry rely on King & Spalding for sophisticated advice on their critical finance transactions and the complex disputes and regulatory issues that arise in relation to them. Our clients range from the largest global banks to leading regional banks to FinTech startups and include a wide range of asset managers, broker dealers, private equity firms, non-bank finance companies, payment processors, and alternative capital providers. These clients turn to our multi-disciplinary team of nearly 500 lawyers worldwide to guide them through secured and unsecured lending, capital markets, specialty finance, securitization and other types of transactions, as well as commercial litigation and arbitration, securities and shareholder suits, multijurisdictional investigations and enforcement actions.

Cases & Deals

December 19, 2023

Lehigh Valley Health Network, Jefferson plan to combine, creating a model integrated health care system

King & Spalding Advised on Compliance in Saudi Arabia Mega-Project

King & Spalding Advised on Cross-Border AML Compliance

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Insights

ARTICLE

February 28, 2024

How Broker-Dealers Can Prepare For New Remote Work Rules

CLIENT ALERT

February 26, 2024

FinCEN Proposes Rule to Extend Bank Secrecy Act Obligations to Certain Investment Advisers

Capability Lawyers



Carolyn Zander Alford
Atlanta



Matthew L. Biben (Matt)
New York



Andrew Brereton
Singapore



Craig Carpenito
New York



Jamie Dycus
New York



Dixie L. Johnson
Washington, D.C.

Recognition

Ranked among the leading firms for Banking & Finance Nationwide, and in Georgia, Illinois, New York, North Carolina

CHAMBERS USA 2022

Ranked among the leading firms for Securities Litigation and Securities Regulation Nationwide

CHAMBERS USA 2022

CLIENT ALERT

February 9, 2024

SEC Adopts Rules Requiring Certain Trading Entities, Including Certain Investment Advisers and Private Funds, to Register as Broker-Dealers

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Events

SPEAKING ENGAGEMENT

May 22, 2024

Aaron Lipson to Speak at Practising Law Institute

SPEAKING ENGAGEMENT

April 17, 2024

Thad Wilson to Speak at the Information Management Network's Distressed CRE West Forum

SPEAKING ENGAGEMENT

March 13, 2024

Aaron Lipson to Speak at Whistleblower Law Symposium at Georgia State University College of Law

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News

PRESS RELEASE

March 11, 2024

Former PCAOB Trial Counsel Mike Plotnick Joins King & Spalding to Enhance SEC and Professional Services Liability Defense Practice

PRESS RELEASE

March 6, 2024

King & Spalding Recruits High-Yield Capital Markets Partner Peter Schwartz in London

IN THE NEWS

February 26, 2024

K&S opens its Dallas office, which will be the firm's 24th office globally and our third in Texas. Trial partner Veronica Moyé joins the firm's Business Litigation practice group in Dallas.

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Band 1 Nationwide –
Securitization: Whole
Business; Band 2
Nationwide – Securitization:
ABS

CHAMBERS USA 2022

Ranked among the leading
firms for
Bankruptcy/Restructuring
Nationwide and in Georgia,
New York

CHAMBERS USA 2022

Ranked among the leading
firms for Commercial
Litigation in New York,
California, D.C., Georgia,
and Texas

CHAMBERS USA 2022

Band 1 Nationwide –
Government Relations:
Congressional Investigations

CHAMBERS USA 2022

Ranked among the leading
firms for White-Collar
Crime/Government
Investigations Nationwide,
and in California, D.C.,
Georgia, Illinois and New
York

CHAMBERS USA 2022

“Practice Group of the Year”
for Structured Finance

LAW360, 2020

Ranked among the top firms
in the U.S. for International &
Cross-Border Disputes

CHAMBERS GLOBAL 2022

Ranked among the top firms

Global-Wide for Islamic
Finance

CHAMBERS GLOBAL 2022

Ranked among the top firms
in Singapore for Banking &
Finance and Restructuring &
Insolvency

CHAMBERS ASIA-PACIFIC
2022

Ranked among the top firms
in Saudi Arabia and UAE for
Banking & Finance

CHAMBERS GLOBAL 2022

Band 1 – Middle East-Wide
Investment Funds

CHAMBERS GLOBAL 2022

Ranked among the top firms
in Germany for Investment
Funds

CHAMBERS EUROPE 2022
