

# Corporate and Securities Litigation

Our Corporate and Securities Litigation team represents leading national and international public companies, investment banks, accounting firms and their executives and directors in courts throughout the U.S.

We try securities cases when necessary, and have won a defense verdict in the largest securities class action trial in history. Working closely with our recognized Securities Enforcement and Regulation, Professional Liability and Financial Restructuring teams to further advance clients' defense and commercial interests, our team also:

- represents companies (both buyers and sellers)
  and their directors, committees of directors,
  officers and financial advisors in litigation arising
  from mergers, acquisitions and other strategic
  transactions;
- guides corporate boards through complex internal investigations and SEC proceedings; and
- represents officers and directors in breach of fiduciary duty and fraudulent transfer lawsuits in bankruptcy proceedings.

Although we are the dominant defense firm for securities matters filed in the Southeast and the Southwest (handling more securities class actions in the 5th and 11th Circuits than any other firm), we have a national and international practice with more than 100 dedicated securities practitioners in offices in New York, Washington, D.C., Georgia, Texas, Illinois and California as well as in offices in Europe and Asia. Our seasoned litigators have acted on behalf of Global 100 clients as lead defense counsel in hundreds of securities class actions, derivative lawsuits, and SEC investigations and enforcement actions in courts nationwide. In class actions alleging securities fraud, we have won scores of cases for clients on motions to dismiss, class certification, summary judgment and at trial.

## Capability Lawyers



David L. Balser Atlanta



Paul R. Bessette



Michael J. Biles (Mike) Austin



Israel Dahan New York



Peter Isajiw New York



Richard T. Marooney
New York

### Recognition

Ranked Tier 1 in Litigation-Securities

U.S. NEWS & WORLD REPORT 2023

Ranked Top Tier Firm for Securities Litigation Defense

LEGAL 500, 2023

Notably, our pretrial dismissal rate exceeds the national average in an environment where dismissals continue to play an important role. Our track record of success is why we are panel counsel for, and are regularly recommended by, major D&O insurance carriers and brokers.

Cases & Deals

September 12, 2023

Rio Tinto Secures Victory in the Second Circuit

May 25, 2023

Mullen Automotive, Inc. Obtains Order Significantly Reducing Fees

May 22, 2023

HSBC, Scotiabank Win Silver Price Manipulation Suit

#### **VIEW ALL**

#### Insights

#### **CLIENT ALERT**

November 8, 2023

The SEC's New Clawback Rules Will Change Internal Investigations

#### **NEWSLETTER**

October 12, 2023

Lender Liability Quarterly – October 2023

#### **ARTICLE**

September 27, 2023

The FTC's Punctuated Equilibrium

#### **VIEW ALL**

#### **Events**

#### **CONFERENCE**

May 24, 2023

Austin: Understanding and Minimizing Securities Litigation

Risks

#### **CONFERENCE**

May 11, 2023

Houston: Understanding and Minimizing Securities

Litigation Risks

#### CONFERENCE

May 11, 2023

Fintech Summit 2023

#### VIEW ALL

#### News

#### PRESS RELEASE

March 11, 2024

Former PCAOB Trial Counsel Mike Plotnick Joins King &

Recognized as a "Litigation Leader" among the Best of the Best Law Firms in Class Actions

BTI LITIGATION OUTLOOK, 2022

Ranked Band 1 for Florida Securities Litigation

CHAMBERS USA, 2023

Spalding to Enhance SEC and Professional Services Liability Defense Practice

#### PRESS RELEASE

February 26, 2024

Trial Partner Veronica Moyé Joins King & Spalding as Firm Opens New Office in Dallas

#### IN THE NEWS

February 26, 2024

K&S opens its Dallas office, which will be the firm's 24th office globally and our third in Texas. Trial partner Veronica Moyé joins the firm's Business Litigation practice group in Dallas.

VIEW ALL