

KEY TAKEAWAYS

# DOJ Enforcement Trends and Updates for Financial Institutions

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- **DOJ is prioritizing COVID-19 prosecutions linked to antitrust, whistleblowers, and the FCA**
- **Other areas ripe for enforcement include trade, market manipulation, insider trading, accounting issues, AML and anti-corruption, restructuring-related fraud, and cybersecurity**
- **Financial institutions must remain diligent to ensure compliance**

